FORM: 3.B

APPLICATION FORM FOR GRANT OF ELIGIBILITY CERTIFICATE (FOR UNIT UNDERGOING EXPANSION/ MODERNISATION/ DIVERSIFICATION) (IN CASE OF NEW SMALL, MEDIUM AND LARGE UNITS)

| 1. | a. | Name of the unit | : | |
|----|------|---------------------------------------|---|--|
| | b. | Office address | : | |
| | c. | Factory address | : | |
| | d. | Registered Head Office | : | |
| | e. | PAN of the Unit | : | |
| 2. | Co | nstitution | | |
| | a. | Proprietorial / Partnership / Private | : | |
| | | Limited Company / Public Limited | | |
| | | Company / Co-operative Society / | | |
| | | Trust / State Government | | |
| | | Corporation / any other legal entity | | |
| | b. | Registration Number & Date under | : | |
| | | any Act | | |
| 3. | | me(s), permanent Address(es) and | : | |
| | | N(s) of the Proprietor / Partners / | | |
| | | rectors / Managing Director / | | |
| | | cretary / President / Chairman / CEO | | |
| | | rustee etc. | | |
| 4. | | Acknowledgment of EM-II / IEM | : | |
| | No | . and Date | | |
| | (ii) | Date of recording Additional | : | |
| | | restments, Additional Capacity, | | |
| | | ditional Products Change of | | |
| | Pro | ocesses, New Products after | | |
| | unc | dergoing Expansion / Modernization | | |
| | / D | iversification | | |
| 5. | Da | te of Commencement of Commercial | | |
| | Pro | oduction / Service rendered. | | |
| | (i) | Before Expansion / Modernization / | : | |
| | | Diversification) | | |
| | (ii) | <u> </u> | : | |
| | | Diversification | | |
| 6. | | oducts Manufactured/ Service | | |
| | Re | ndered | | |
| | (i) | Before Expansion / Modernization / | | |
| | (1) | Diversification | | |
| | | | | |
| | (ii) | After Expansion / Modernization / | : | |
| | | Diversification | | |
| 7. | Sca | ale : Small / Medium / Large | : | |
| ' | | · · · · · · · · · · · · · · · · · · · | - | |

| 8. | Details of Land | | Before Expansion / Modernization / Diversification | On Expansion / Modernization / Diversification |
|----|---|---|--|---|
| | I. If the land is allotted by Govt./Govt. Agency Number and date of Allotment Letter issued by Govt./Govt. Agency for land or shed and name of the allotting Authority. | | | |
| | II. In case of Own land Number and date of Registration of the Land Purchase Deed and Dag Number, Patta Number, Revenue Village & Mouza. | | | |
| | III. In case of lease –hold land a. Number and date of Registered Land Lease Deed, duration of Lease and Dag Number, Patta Number, Revenue Village & Mouza. | : | | |
| | b. Number and date of Registered Rent Agreement | | | |
| 9. | Details of Factory Building | | Before Expansion / Modernization / Diversification | Additional On Expansion / Modernization / Diversification |
| | I. Own Building: | | | |
| | a. Date of Starting and Completion of the Civil construction works | | | |
| | b. Area of construction | : | | |
| | c. Cost of construction | : | | |
| | II. Allotted by the Government Agency/ Lease hold or Rented: | | | |
| | a. Number and date of Allotment Letter issued by Govt./ Govt. Agency for land & Shed and Name of the allotting Authority. | | | |
| | b. Number and date of Registered Lease/ Rent Agreement and period of validity | | | |

| 10. | Fix | xed Capital Investment | Before | Additional on | Total |
|-----|-----------------------------|------------------------------|-----------------|-----------------|-------|
| | (Gross Value) in Rs. | | Expansion / | Expansion / | |
| | | | Modernization / | Modernization / | |
| | | | Diversification | Diversification | |
| | | | | | |
| | a. | Land | | | |
| | b. | Site Development | | | |
| | c. | Building | | | |
| | | i. Factory Building | | | |
| | | ii. Office, Quarter etc. | | | |
| | d. | Plant and Machinery | | | |
| | i. Basic Value including | | | | |
| | taxes, insurance etc. | | | | |
| | ii. Transportation/loading/ | | | | |
| | unloading charges | | | | |
| | | iii. Installation/erection | | | |
| | | charges. | | | |
| | e. | Equipment, accessories, | | | |
| | | components, fittings | | | |
| | f. | Electrical installation | | | |
| | | i. Drawal of Powerline | | | |
| | | ii. Internal Electrification | | | |
| | iii. D.G. Set | | | | |
| | g. | Miscellaneous fixed assets | | | |
| | | (in details) | | | |
| | h. | Preliminary & pre-op. | | | |
| | | expenses to be capitalized | | | |
| | | Total: | | | |

| 11. | Source of Finance | | Before Expansion | Additional on | Total |
|-----|---|---|-------------------|-----------------|-------|
| | (In Rs.) | | / Modernization / | Expansion / | |
| | | | Diversification | Modernization / | |
| | | | | Diversification | |
| | a. Promoter's / Partners' Contribution | : | | | |
| | b. Paid up Capital | : | | | |
| | c. Loan from Bank/ Financial Institution | : | | | |
| | d. Private Finance (to be specified) | : | | | |
| | e. Unsecure Loan | : | | | |
| | f. Other source (to be specified) | : | | | |
| | Total | : | | | |

| 12. | | Before Expansion/ Modernization/ Diversification | Additional on Expansion/ Modernization/ Diversification | Total |
|-----|--|--|---|-------|
| | a. Name(s) of the Bank / Financial Institution | | Diversification | |
| | b. Amount Sanctioned and Sanction Letter No. & Date | | | |
| | (i) Term Loan: | | | |
| | (ii) Working Capital / Cash Credit / Over Draft : | | | |
| 13. | Details of Power connection: | | | |
| | (i) Sanctioned Load, Sanction Letter No. & Date. | | | |
| | (ii) Connected Load and Date of Connection. | | | |

14. Details of the Annual Installed Capacity

| | | enpure. | , | | | | |
|-----|------------|-----------------|------------|-----------------|-------|-----------------|-------|
| Sl. | Product(s) | BEFORE | Expansion/ | ADDITIONAL | | Total after | |
| No. | | Moderniza | ation/ | on Expansion/ | | Expansion/ | |
| | | Diversification | | Modernization/ | | Modernization/ | |
| | | | | Diversification | | Diversification | |
| | | Quantity | Value | Quantity | Value | Quantity | Value |
| | | | | | | | |
| | | | | | | | |

15. Details of the Production / Service Rendered

| Previous years from | Finished Product (s) | Prod | uction | % |
|-------------------------------|----------------------|----------|-------------|--------------|
| the Date of Expansion | | | | utilization |
| | | | | of Installed |
| (Backward direction | | | | Capacity |
| from the date of | | Quantity | Value (Rs.) | |
| Expansion) | | | | |
| 1 st Previous Year | | | | |
| 2 nd Previous Year | | | | |
| 3 rd Previous Year | | | | |

16. Details of Raw Material(s) and Quantity of Raw Materials Required:

| Sl. | Item(s) | BEFORE Expansion/ | | ADDITIONAL | | TOTAL after | |
|-----|---------|-------------------|--|-----------------|-------|-----------------|---------|
| No. | , , | Modernization/ | | - | | Expan | sion/ |
| | | Diversification | | Modernization/ | | Moderni | zation/ |
| | | | | Diversification | | Diversification | |
| | | Quantity Value | | Quantity | Value | Quantity | Value |
| | | | | | | | |

17. Tax and Duties Paid

| Previous years | VAT Paid | CST Paid | Entry Tax | Service | Central | Quantity |
|-------------------------------|----------|----------|-----------|----------|-------------|------------|
| from the Date of | | | Paid | Tax Paid | Excise Paid | cleared by |
| Expansion | | | | | | Central |
| (Backward | | | | | | Excise |
| direction from the | | | | | | |
| date of Expansion) | | | | | | |
| 1 st Previous Year | | | | | | |
| 2 nd Previous Year | | | | | | |
| 3 rd Previous Year | | | | | | |
| After Expansion | | | | | | |

18. Details of Employment (Direct):

| | Betains of Empro | ` | | | | | | | | |
|-----|------------------|-------------------|-----------|-----------------|-----------------|-----------------|-------------|-----------------|--------|------|
| S1. | Category | BEFORE Expansion | | ADDITIONAL on | | | TOTAL after | | | |
| No. | | / Modernization / | | Exp | Expansion / | | | Expansion / | | |
| | | Diversification | | Mode | Modernization / | | | Modernization / | | |
| | | | | Diversification | | Diversification | | | | |
| | | Total | People of | | Total | People o | of | Grand | People | e of |
| | | Empl | Assam | | Addl. | Assam | | Total | Assan | 1 |
| | | oyme | | % | | (Nos.) % | % | Employ | (Nos | % |
| | | nt | (Nos.) | /0 | ment | (1105.) | | -ment | .) | /0 |
| | | (Nos.) | (1105.) | | (Nos.) | | | (Nos.) | •/ | |
| i. | Managerial | | | | | | | | | |
| ii. | Non-Managerial | | | | | | | | | |
| | Total: | | | | | | | | | |

18. Details of Indirect Employment (As per provision of the Contract Labour Act etc.):

| Sl. | Item/s | Before Expansion / | | During Expansion / | | Grand Total after | | |
|-----|----------------|--------------------|-----------------|--------------------|----------------------|----------------------|-----------------|--|
| No. | | Mode | Modernization / | | dernization / | Expansion / | | |
| | | Diversi | fication (No) | Diver | Diversification (No) | | Modernization / | |
| | | | | | | Diversification (No) | | |
| | | Total People of | | Total | People of | Total | People of | |
| | | Assam | | | Assam | | Assam | |
| | | | | | | | | |
| i. | Managerial | | | | | | | |
| ii. | Non-Managerial | | | | | | | |
| | Total: | | | | | | | |

| 19. | Incentives required under the Industrial & | : | 1. |
|-----|--|---|----|
| | Investment Policy of Assam 2014. | | |
| | | | 2. |
| | | | |
| | | | 3. |

20. Declaration

| I / We hereby solemnly declare that for grant of Eligibility Certificate claiming various | the information furnished in this application incentives under the Industrial & Investment |
|---|--|
| Policy of Assam 2014 are correct and true to the bes | |
| | |
| | |
| | |
| | |
| | |
| Place: Date: | Signature of the applicant (s) Status in relation to the unit |
| Duic. | Status in relation to the unit |